

RIVERON

Governance, Risk, and Compliance

Organizations frequently experience events that can directly impact governance, risk and compliance obligations. It is important to develop and implement a proactive plan that protects the business from new risks, ensure compliance, and drives value for the organization.

With extensive experience designing and managing risk protection plans across a wide range of industries, Riveron is uniquely equipped to guide the process and enhance an organization's control environment.

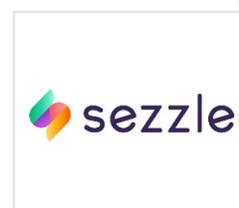
Common Client Challenges

- ▶ Failure to assess and identify significant risks
- ▶ Inadequate documentation to substantiate control effectiveness
- ▶ Remediation of material weaknesses
- ▶ Inefficient processes post transformation

Services:

- ▶ SOX readiness assessment and implementation
- ▶ Internal controls design, testing, and remediation
- ▶ Segregation of duties and access risk review
- ▶ Co-sourced/outsourced internal audit
- ▶ Policy and procedure development
- ▶ Enterprise risk management
- ▶ IT and cybersecurity risk assessment

REPRESENTATIVE ENGAGEMENTS



How We Help

As an extension of your team, Riveron mobilizes quickly, drives execution, and ensures your organization effectively identifies and addresses pertinent risk factors to achieve a compliant controls environment.

1 Pre-Public

Navigating the governance, risk, and compliance challenges of going public, we conduct a proactive assessment to help prevent year-one surprises.

2 Post-Public

Maturing the control environments is critical for effective businesses. We look holistically at companies' compliance functions, including controls, to ensure they are relevant and current.

3 Mature Public

Maximizing the value of the control environment and reducing costs, we create efficiencies by automating manual processes, eliminating redundant controls, and leveraging technology.

THE RIVERON ADVANTAGE

Working as an extension of the organization, we help our clients address their unique needs and reach unimagined potential.

- ▶ Comprehensive services across, finance, accounting, operations, and technology
- ▶ Deep experience supporting companies becoming SEC registrants
- ▶ End-user training to ensure effective go-live of prescribed remedial actions
- ▶ Co-source as an extension of management and work with third parties to deliver results

RIVERON

Riveron is a business advisory firm specializing in accounting, finance, technology, and operations. We partner with our clients to elevate performance and expand possibilities across the transaction and business lifecycle.



Accounting
Advisory



Accounting &
Finance Operations



Capital Markets
& Divestitures



Performance
Improvement



Restructuring &
Turnaround



Tax
Advisory



Technology
Enablement



Transaction
Services